

Behaviour

The social context of individual foraging behaviour in long-finned pilot whales (Globicephala melas) --Manuscript Draft--

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Abstract:	<p>Summary</p> <p>Long-finned pilot whales (<i>Globicephala melas</i>) are highly social cetaceans that live in matrilineal groups and acquire their prey during deep foraging dives. We tagged individual pilot whales to record their diving behaviour. To describe the social context of this individual behaviour, the tag data were matched with surface observations at the group level using a novel protocol. The protocol comprised two key components: a dynamic definition of the group centred around the tagged individual, and a set of behavioural parameters quantifying visually observable characteristics of the group. Our results revealed that the diving behaviour of tagged individuals was associated with distinct group-level behaviour at the water's surface. During foraging, groups broke up into smaller and more widely spaced units with a higher degree of milling behaviour. These data formed the basis for a classification model, using random forest decision trees, which accurately distinguished between bouts of shallow diving and bouts of deep foraging dives based on group behaviour observed at the surface. The results also indicated that members of a group to a large degree synchronised the timing of their foraging periods. This was confirmed by pairs of tagged individuals that nearly always synchronized their diving bouts. Hence, our study illustrates that integration of individual-level and group-level observations can shed new light on the social context of the individual foraging behaviour of animals living in groups.</p>
Keywords:	diving behaviour, cetaceans, group-level sampling, long-finned pilot whale, foraging, <i>Globicephala melas</i> , digital archival tags, social animals
Response to Reviewers:	

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2 finned pilot whales (*Globicephala melas*)

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4 Short title: Foraging behaviour of long-finned pilot whales

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22 **Summary**

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24 Long-finned pilot whales (*Globicephala melas*) are highly social cetaceans that live in
25 matrilineal groups and acquire their prey during deep foraging dives. We tagged
26 individual pilot whales to record their diving behaviour. To describe the social context
27 of this individual behaviour, the tag data were matched with surface observations at
28 the group level using a novel protocol. The protocol comprised two key components:
29 a dynamic definition of the group centred around the tagged individual, and a set of
30 behavioural parameters quantifying visually observable characteristics of the group.
31 Our results revealed that the diving behaviour of tagged individuals was associated
32 with distinct group-level behaviour at the water's surface. During foraging, groups
33 broke up into smaller and more widely spaced units with a higher degree of milling
34 behaviour. These data formed the basis for a classification model, using random
35 forest decision trees, which accurately distinguished between bouts of shallow diving
36 and bouts of deep foraging dives based on group behaviour observed at the surface.
37 The results also indicated that members of a group to a large degree synchronised
38 the timing of their foraging periods. This was confirmed by pairs of tagged individuals
39 that nearly always synchronized their diving bouts. Hence, our study illustrates that
40 integration of individual-level and group-level observations can shed new light on the
41 social context of the individual foraging behaviour of animals living in groups.

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43 **Keywords**

44 diving behaviour, cetaceans, group-level sampling, long-finned pilot whale, foraging,
45 *Globicephala melas*, digital archival tags, social animals

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47 Introduction

48

49 Animal behaviour is traditionally studied at the level of the individual (Williams, 1966).

50 In social animals, however, the behaviour of an individual also influences, and is

51 influenced by, the behaviour of other individuals with whom it interacts. In social

52 insects, fish and birds, groups composed of individuals following simple decision

53 rules can make complex decisions about where to forage or nest (Deneubourg &

54 Goss, 1989; Couzin et al., 2005; Sumpter, 2006). Social mammals, such as primates

55 and ungulates, often democratically reach group-decisions (Conradt & Roper, 2003),

56 although despotism may also occur (Lusseau & Conradt, 2009). Social behaviour

57 often requires a high degree of coordination among group members, as exemplified

58 by several species of social cetaceans that to a large extent depend upon

59 conspecifics for foraging (e.g., Pitman & Durban, 2012), group defence (e.g., Pitman

60 et al., 2001), alloparental care (Whitehead, 1996) and access to females (Connor et

61 al., 1992).

62 Long-finned pilot whales (*Globicephala melas*) are social cetaceans that live

63 in long-term stable, matrilineal groups (Amos, 1993; Ottensmeyer & Whitehead,

64 2003; de Stephanis et al., 2008). Pilot whales forage mainly on deep-sea squid,

65 during short but relatively deep dives up to 800 m depth (Shane, 1995; Baird et al.,

66 2002; Heide-Jørgensen et al., 2002; Sivle et al., 2012). The function of the deep

67 foraging dives becomes apparent from their distinct vocal signature. During deep

68 dives, individuals produce echolocation signals to localise prey, consistent with bio-

69 sonar based foraging (Soto et al., 2008; Miller et al., 2011; Madsen et al., 2013).

70 Pairs of long-finned pilot whales can perform highly synchronous surfacing

71 behaviour, at less than one body length apart (Senigaglia & Whitehead, 2012). Their

72 behavioural synchrony can be maintained during deep foraging dives, when they

73 jointly swim to several hundred meters of depth in search for prey (Aoki et al., 2013).

74 This suggests that long-finned pilot whales employ a social foraging strategy,

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75 whereby individuals coordinate their foraging behaviour (Marshall et al., 2012). Social
76 foraging is found across a wide range of taxa, and is known to influence the foraging
77 decisions and pay-offs of individuals living in social groups (Marshall et al., 2012).
78 For social animals such as long-finned pilot whales, studies of their foraging
79 behaviour will therefore benefit from detailed observations of both individual and
80 collective behaviour (Deneubourg & Goss, 1989; Conradt & Roper, 2003).

81 The social foraging behaviour of deep-diving cetaceans has been difficult to
82 study using traditional observation techniques. This partly stems from challenging
83 observation conditions; deep-sea foraging cannot be directly observed visually.
84 Moreover, fluid movement patterns, submerged individuals, lack of distinctive
85 markings and limited sexual size dimorphism often prevent rapid identification of
86 individuals at the next surfacing. Hence, many cetacean studies have focused on
87 group-level behaviour at the surface (Mann, 1999; Whitehead, 2004). However,
88 recent methodological breakthroughs make it more feasible to monitor the diving
89 behaviour of individual cetaceans. Digital archival tags can record individual
90 movements and vocalisations (Johnson & Tyack, 2003). These data can be used to
91 identify foraging behaviour of the tagged individuals (e.g. Soto et al., 2008), and can
92 be matched to surface observations of group behaviour.

93 Here, we investigate the social context of the individual foraging behaviour of
94 long-finned pilot whales, by integrating tagging data of individuals displaying foraging
95 and non-foraging dives with visual observations of group-level behaviour at the
96 water's surface. We designed a novel sampling protocol that quantitatively records
97 behavioural parameters of the group centred around the tagged individual. We then
98 analysed the extent to which individuals synchronised their diving behaviour, and
99 whether group-level behaviour visible at the surface varied between foraging and
100 non-foraging states of the tagged individual.

101

102 **Materials and Methods**

103

104 The behaviour of long-finned pilot whales was monitored from the research
105 vessel M/S Strønstad (29 m, engine driven) in the Vestfjord basin off Lofoten,
106 Norway (67°00'N, 11°50'E to 68°30'N, 17°00'E). The study was conducted from May
107 17 to June 5, 2009, and from May 23 to June 6, 2010. We collected two types of
108 behavioural data: 1) dive parameters collected from individuals tagged with non-
109 invasive suction-cup tags, and 2) focal follow observations of group behaviour at the
110 surface, conducted using a novel sampling protocol described below.

111

112 *Tag recordings of individual whales*

113

114 Following initial sighting of a group of pilot whales, a small tagging vessel was
115 directed to the group. It was not possible to select a predetermined individual for
116 tagging. Instead, individuals were tagged at the first available opportunity, when they
117 came sufficiently close to be tagged with a 6 m long pole holding the tag.

118 We deployed two different types of non-invasive suction cup tags (DTAG
119 version 2, Woods Hole Oceanographic Institution, MA, USA, Johnson & Tyack, 2003;
120 Little Leonardo W2000-PD3GT Type B tag, Atmosphere and Ocean Research
121 Institute, University of Tokyo, Japan, Aoki et al., 2013). The tags contained a VHF
122 beacon transmitting a radio signal when the tag surfaced. This radio signal was used
123 to track the tagged whale during deployment using radio direction finding equipment,
124 informing the observers when the whale was at the surface and giving its bearing
125 from the research platform. Both tags recorded dive depth of the tagged individual, at
126 20 Hz (DTAG) and 32 Hz (Little Leonardo). The DTAGs also recorded sound at the
127 whale, with 16 bit resolution and 192 kHz sampling rate (Johnson & Tyack, 2003).

128 Dive depth was obtained by calibrated conversion of the values from the
129 pressure sensor on the tags. Long-finned pilot whales typically forage upon their prey

130 during deep dives, while shallow dives are seldom associated with foraging (Miller et
131 al., 2011). To distinguish between foraging and non-foraging periods, dives were
132 assigned to either deep or shallow diving bouts using log-frequency analyses of dive
133 depths and time intervals between consecutive deep dives (Sivle et al., 2012), and
134 the presence of echolocation signals indicative of the localisation of prey.

135 To determine the presence of echolocation activity, we recorded the timing
136 and length of the echolocation signals of the individuals tagged with a DTAG using
137 Adobe Audition 2.0. Echolocation clicks were series of short broadband signals
138 classified as click trains or buzzes. Consecutive but distinct broadband clicks
139 recorded less than 2 s apart were classified as a click train. Buzzes were defined as
140 rapid successions of clicks that fused together on the spectrogram, and are indicative
141 of prey capture attempts (e.g. Madsen et al., 2013). All click trains or buzzes that
142 were clearly audible and/or visible on the spectrograms (Blackman-Harris window,
143 4096 sample FFT, 75% overlap) were included in the analysis. We did not
144 discriminate between clicks of the tagged whale and those of nearby individuals.

145 In total, we tagged 11 individuals during 8 focal follows. Hence, in 3 of the 8
146 focal follows, we recorded the diving behaviour of two simultaneously tagged
147 individuals within the same group. The diving patterns of the two individuals were
148 compared to investigate dive synchrony between group members.

150 *Visual observations of group behaviour*

151
152 Visual observations of group behaviour were made from the observation
153 platform of the research vessel at 6 m above water level. The focal group consisted
154 of the individuals associated with the tagged individual. During all observations, the
155 research vessel aimed to maintain a distance of 100 – 400 m to the focal group.
156 Behavioural data were collected by two dedicated observers, alternating in 6-hour
157 shifts. The observer was assisted by a second person recording the observations

158 onto a laptop-based data logger. The observers regularly calibrated their distance
159 estimates using a laser range finder. In addition, the distance estimates of the two
160 observers were calibrated by comparison of their estimates of the distance between
161 the observation platform and a gps-equipped buoy from randomly chosen distances
162 and angles. The first 30 minutes after tagging were excluded from the data set to
163 allow the focal group to recover from any behavioural response it may have had to
164 the tagging. Analyses conducted here ended at the release of the tag, or at the start
165 of sound exposure experiments (reported in Miller et al., 2012, not discussed here).
166 Sampling was conducted during all hours of the day, enabled by the 24-hour daylight
167 conditions of the arctic summer.

168

169 *Definition of the focal group*

170

171 The composition of pilot whale groups was dynamic and could change during
172 the focal follows. We therefore defined the focal group as the group of individuals in
173 closer proximity to the tagged individual and each other than to other individuals in
174 the area (Figure 1). For this purpose, we first defined different spacing categories
175 based on the distance between individuals measured in body lengths (Table 1).
176 Closely associated pairs (<1 body length), such as mother-calf pairs, were treated as
177 a single unit in the assessment of distances between individuals. When the tagged
178 whale surfaced, the first step in estimating group size was to determine the nearest
179 neighbour of the tagged individual. The focal group included all individuals with
180 similar proximity (according to the individual spacing categories; Table 1) to the
181 tagged whale or other group members as the nearest neighbour. If the nearest
182 neighbour was in closer proximity to other individuals than to the tagged whale, then
183 the tagged whale was assigned as solitary. Thus, focal group membership was
184 based on the relative distribution of individuals around the tagged whale (Figure 1).
185 Our definition is comparable to the chain-rule, which identifies group members based

186 upon maximum distance between nearest neighbours (e.g., 50 m; Smolker et al.,
187 1992). However, instead of a predetermined absolute distance, we based group
188 membership on the relative distances between individuals to capture the variation in
189 individual spacing that we observed in our study animals.

190 More distant individuals, not included within the focal group, might still be in
191 close enough proximity to have interactions with the focal group. For instance,
192 cetaceans can communicate acoustically over large distances (Payne & Webb,
193 1971). The number of individuals in the wider area can thus provide an important
194 social context, describing a second level of cohesion (Figure 1). Therefore, our
195 sampling protocol also included the number of individuals and non-focal groups in the
196 wider vicinity (focal area) of the tagged individual. For practical reasons, the focal
197 area was here defined as the 200 m radius around the tagged individual, as
198 delineated by a laser range finder, because this was the maximum area over which
199 we could reliably monitor the number of whales present during the entire observation
200 period. Non-focal groups were defined in the same way as the focal group, based on
201 clusters of individuals with similar proximity to each other according to the individual
202 spacing categories (Table 1).

204 *Sampling strategy*

206 The functionality of cetacean behaviour can be difficult to determine with
207 certainty. Therefore, we argue that the behaviour of cetaceans should be sampled
208 using directly observable parameters without an *a priori* interpretation of the function
209 of their behaviour (Martin & Bateson, 2007). For example, parameters such as
210 'individual spacing' and behavioural events such as 'tailslaps' can be directly
211 observed and lack the functional interpretation of composite activities such as
212 'foraging'. Furthermore, whenever possible, we recorded quantitative descriptors. For
213 example, 'surfacing synchrony' can be defined as 'the proportion of individuals within

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214 the focal group that surfaced during the surfacing of the tagged whale'. This
215 quantitative definition is less prone to observer bias than a more qualitative definition
216 of, e.g., 'low, medium and high' synchrony, and avoids the implicit suggestion that the
217 behaviour of all group members is synchronised.

218 We choose to monitor the behavioural parameters of groups based on the
219 principles outlined above, distinguishing between group states and individual events
220 (Table 1). Group states were defined as characteristics of relatively long duration of
221 the focal group as a whole, such as group size and the spacing between individuals.
222 Individual events were defined as behaviours of short duration, displayed by
223 individuals in the focal group. The parameters were recorded using a combination of
224 existing sampling methods. Group size and composition, group geometry (individual
225 spacing, line swimming) and proximity to other groups (number of individuals and
226 groups in the focal area, distance to nearest other group) were determined for each
227 sampling interval by scanning the area around the tagged animal. Synchronicity
228 parameters (surfacing synchrony, milling index) were quantified from the timing or
229 orientation of surfacing of the associates relative to the tagged animal using
230 traditional scan sampling (Altmann, 1974). The individual events in the focal group
231 were recorded for each sampling interval using incident sampling (Mann, 1999). All
232 parameters were recorded at 2-minute intervals, or at first surfacing of the tagged
233 individual following dives of more than 2 minutes duration. This sampling interval was
234 shorter than the time scale at which the state parameters were expected to change
235 (Martin & Bateson, 2007), and ensured observation of the tagged individual.

236 237 *Statistical analysis of behavioural differences*

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239 The data gathered in our study enabled a comparison between diving
240 patterns of tagged individuals and the behaviour of the focal groups to which these
241 tagged individuals belonged. Differences in group behaviour between bouts of deep

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242 and bouts of shallow diving of the tagged individuals were quantified using
243 Generalised Estimating Equations (GEEs; Hardin & Hilbe, 2003). The input data
244 comprised behavioural time series from 8 different focal groups, each group
245 consisting of different whales. GEEs extend Generalized Linear Models (GLMs) in
246 that they are designed to model correlated data, and return predicted values for the
247 average response across the entire dataset. GEEs account for residual
248 autocorrelation within individual time series (panels), while assuming independence
249 between time series. Surface behaviour events (Table 1) and the presence of milling
250 and line swimming were modelled as binary response types with diving state as
251 explanatory variable, using GLMs with GEEs and a logit link function. The other
252 behavioural parameters in our protocol (Table 1) contained multiple categories, and
253 were modelled as ordinal categorical response types using GEEs with a cumulative
254 logit link function. The GEEs were fitted using empirical standard errors to ensure
255 that model results did not depend on a potentially incorrect correlation structure
256 (Zeger et al., 1988; Kauermann & Carroll, 2001). The GEE models were fitted with
257 the GENMOD procedure in SAS 9.3 (SAS, 2011), using the time series of the tagged
258 animal as panel variable. We applied a Bonferroni correction to control for multiple
259 hypothesis testing.

260

261 *Classification of diving behaviour from surface behaviour*

262

263 We used Random Forest (RF) analysis (Breiman, 2001) to test whether the
264 diving behaviour of the tagged individual could be predicted from the behaviour of the
265 focal group at the surface. RFs consist of a series of unpruned classification trees
266 generated from one dataset. At each node of a tree, a fixed small number of predictor
267 variables is randomly selected, and the predictor that yields the best split is chosen.
268 The model can simultaneously handle a large number of input variables, and
269 parameters can be used multiple times within one tree. For each tree, N records of

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270 the m -sized dataset are randomly selected, with replacement, and run down the tree.

271 Each record is then classified based on the majority vote from all trees. The error
272 estimate of the model is determined internally by using the out-of-bag (OOB) data
273 (the data not used in the iteration, about one-third) as test data (Breiman, 2001).

274 RF models can be used to estimate the relative importance of the predictor
275 variables (Breiman, 2001; Kehoe et al., 2012). However, estimates of parameter
276 importance in RF models can be biased if the parameters vary in their scale of
277 measurement, which was the case in our dataset (Table 1). This issue is solved by
278 an adaptation to RF models, known as Conditional Inference Forests (CIF), using
279 subsampling without replacement (Hothorn et al., 2006; Strobl et al., 2007).

280 The adapted RF model, based on CIF, was created using the group
281 behavioural parameters at the surface as predictors and the deep versus shallow
282 diving bouts as the response variable. The model was run with 1000 trees, randomly
283 selecting 5 predictor variables at each node, using a subsample size of two-thirds of
284 the dataset without replacement. The predictor variables with the lowest variable
285 importance were then removed one by one from the model, until further removal did
286 not improve the OOB error rate. Potential cross-correlation of the predictor variables
287 was controlled for by using the conditional computation of variable importance in the
288 RF model (Strobl et al., 2008). To account for the difference in occurrence of deep
289 and shallow diving states, weights were assigned to both states, inversely
290 proportional to their occurrence.

291 To test for potential effects of stratification on the results of the RF analysis,
292 we investigated the classification accuracy of the RF model for each focal follow
293 separately. This was done by running the model using seven (out of eight) of the
294 focal follows as a training dataset. The resulting RF model was then used to classify
295 the one focal follow not used in model training. This procedure was repeated eight
296 times, to classify all eight focal follows one by one. Analyses were performed using
297 the package 'party' in R version 2.14.1 (R development core team, 2011).

298

299 **Results**

300

301 We collected 34.9 h of data containing simultaneous records of individual tag
302 data and behavioural observations of pilot whale groups. The data comprised 8 focal
303 follows with a total of 595 samples of group-level behaviour. Focal follow duration
304 ranged from 0.8 – 8.9 h. In 3 of the 8 focal follows, the focal group contained two
305 tagged individuals. The tagged individuals were 5 medium-sized individuals
306 associated with a calf, 4 medium-sized individuals without a calf, and 2 large-sized
307 adults without a calf. Photo-identification records confirmed that the tagged whales
308 were different individuals for each focal follow.

309

310 *Characteristics of group behaviour*

311

312 All 8 focal groups were part of larger aggregations of 60-100 pilot whales,
313 generally organised in subgroups and spread out over an area spanning several
314 square kilometres. Focal group size ranged from 1 to 30 individuals, with a median of
315 11 individuals and interquartile range of 6 individuals. In 48% of the samples, at least
316 one other group of pilot whales was present within the focal area. The number of
317 individuals in the focal area ranged from 2 – 50, with a median of 15 individuals and
318 interquartile range of 11 individuals. Calves were present in 7 of the 8 focal groups.
319 Individuals in the focal group were often tightly spaced (56% of samples). Loosely
320 spaced (18%) and very tightly spaced (16%) individuals were also commonly
321 observed, while very loose spacings (9%) and solitary individuals (1%) were rare.
322 Milling and line swimming were observed in 7.5% of the samples. Loggings (11%)
323 and spyhops (5%) were the most frequent surface behaviour events. An example of
324 a focal follow is shown in Figure 2a-f.

325

326 *Deep and shallow diving bouts*

327

328 Log-frequency analysis of the tag data indicated a threshold depth of 34 m to
329 separate shallow from deep dives. Echolocation signals indicative of the localisation
330 of prey were recorded during all dives deeper than 34 m for the 7 whales equipped
331 with a DTAG. In particular, clicking and buzzing were recorded 57% and 3.3% of the
332 time, respectively, during deep dives. In contrast, clicking and buzzing were recorded
333 only 25% and 1.1% of the time during shallow dives less than 34 m. Furthermore,
334 log-frequency analysis of the tag data suggested a maximum time interval of 14.5
335 min between consecutive deep dives within the same diving bout. A deep diving bout
336 thus started at the first dive deeper than 34 m and ended 14.5 min after the last deep
337 dive. Bouts of deep diving consisted of alternating periods of deep and shallow dives,
338 while shallow diving bouts solely held shallow dives (Figure 2g,h). In total, 20 shallow
339 diving bouts and 18 deep diving bouts were recorded for the tagged pilot whales,
340 where shallow diving bouts comprised 72% and deep diving bouts 28% of total
341 recording time. The maximum depth per diving bout ranged from 4 – 34 m for shallow
342 bouts. The deep diving bouts showed two clusters, with maximum dive depths
343 ranging from 46 – 175 m (8 bouts) and from 291 – 617 m (10 bouts). All tagged
344 whales performed both shallow and deep dives, except for one individual that
345 performed shallow dives only.

346 In all three focal groups containing two tagged individuals, the pairs of tagged
347 whales showed clear temporal synchrony in their diving behaviour (Figure 3). In total,
348 the 3 pairs of tagged whales performed 5 shallow diving bouts and 3 deep diving
349 bouts, and their diving state overlapped during 84% of the recording time (7.5 out of
350 8.9 h). In all cases, the tagged whale pairs initiated their deep diving bouts
351 simultaneously. Differences in diving state resulted from one of the whales breaking
352 off its deep diving bout earlier than the other whale.

353

354 *Group behaviour during deep and shallow bouts*

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356 Comparison of the focal follows with the tagging data revealed a striking
357 difference in group behaviour between deep and shallow diving bouts (Figure 4),
358 which was confirmed by the GEE-based statistical analysis (Table S1). During deep
359 diving bouts, the surface behaviour of the pilot whales shifted to smaller groups
360 (Figure 4a), with more loosely arranged individual spacing (Figure 4e). The number
361 of groups in the focal area slightly increased during deep diving bouts (Figure 4c).
362 However, observations of solitary individuals remained rare (1% of the samples).
363 Concordantly, milling occurred more often during deep diving bouts (Figure 4g).
364 Combined, this indicates that deep diving bouts were associated with a characteristic
365 group behaviour at the surface in which the individuals of cohesive groups spread out
366 and broke up in smaller units, while their extent of coordinated swimming decreased.

367

368 *Random forest classification of foraging behaviour*

369

370 The presence of specific surface group behaviour associated with deep diving
371 bouts was confirmed by the RF model analysis. The RF model classified deep and
372 shallow diving bouts from the group behaviour quite accurately, with an error rate of
373 15.8% (Table 2). The most important parameters distinguishing between deep and
374 shallow diving bouts in the RF classification were individual spacing, distance
375 between groups, and group size (Figure 5), which is in good agreement with the GEE
376 results (Figure 4; Table S1). The relative importance of the variables active body
377 contact, tailslap, breach, line swimming, calf presence and spyhops was marginal,
378 and they were therefore removed from the final model.

379 We also investigated to what extent the deep and shallow diving bouts of
380 each individual focal follow could be predicted from a RF model built from the 7 other
381 focal follows. The error rate of the 7 focal follows used for model training was 15.9%,

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382 which is nearly identical to the error rate of the complete data set. The error rate of
383 the individual focal follows used for model prediction was 22.4%. This indicates some
384 degree of variation in social foraging behaviour between the different focal follows.
385 Hence, the RF model is certainly not a perfect predictor, but it can predict the diving
386 behaviour of new individuals with reasonable accuracy.

387

388 *Identification of model misclassifications*

389

390 In some cases, the RF model predicted a shallow dive while the tagged
391 individual performed a deep dive, and vice versa. In total, such misclassifications
392 occurred for 94 samples (Table 2). Almost a third of all misclassifications (27
393 samples) occurred at the transitions from deep to shallow and from shallow to deep
394 diving bouts (Figure 2g). This may indicate that, during these transitions, individuals
395 in the focal group varied in their behaviour. For instance, the tagged individual may
396 have ended its deep diving bout, while other members of the group still performed
397 deep dives and associated surface behaviour. This was confirmed by our
398 observations of pairs of tagged whales, where one tagged individual sometimes
399 broke off its deep diving bout earlier than the other.

400 A second important category of misclassifications (18 samples) was
401 represented by deep diving bouts that were not recognised by the RF model (Figure
402 2g). This occurred for only 4 deep diving bouts, which were all relatively shallow and
403 of short duration (maximum dive depth: 46 - 166 m; duration: 2 – 18 min). In contrast,
404 the deeper deep diving bouts (max. dive depth: 291 – 617 m) were always correctly
405 identified by the RF model. This might indicate that “shallow deep dives” represented
406 different foraging behaviour or were associated with a different social context at the
407 water’s surface. Removal of these two sources of misclassification reduced the error
408 rate of the complete data set from 15.8 to 8.2%.

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410 **Discussion**

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412 Our results illustrate that the combination of individual-level and group-level
413 observations can provide new insights into the foraging behaviour of social animals.

414 We showed that periods of active foraging, monitored by the diving behaviour of

415 tagged whales, were reflected by a distinct group behaviour at the surface. During

416 foraging bouts, focal groups broke up into smaller and more widely spaced groups.

417 Concordantly, the degree of coordinated swimming decreased. These results formed

418 the basis for a classification model that could accurately predict diving behaviour of a

419 tagged whale from the surface behaviour of the group.

420

421 *Foraging behaviour of long-finned pilot whales*

422

423 Long-finned pilot whales are often observed in large aggregations, consisting

424 of several pods. Pods are long-term stable associations of one or more matriline

425 (Ottensmeyer & Whitehead, 2003). Also in our study, focal groups were always part

426 of larger aggregations dispersed over a wider area of several square kilometres. The

427 focal group size ranged from 1 – 30 individuals, with a median of 11 individuals. This

428 closely matches the pod sizes identified by photo-identification studies across the

429 North Atlantic and in the Mediterranean Sea (mean: 11 – 14, median: 10 - 11

430 individuals; Fullard, 2000; Cañadas & Sagarminaga, 2000; Ottensmeyer &

431 Whitehead, 2003; de Stephanis et al., 2008). During foraging, several focal groups

432 disaggregated into smaller units of 1-5 individuals. This decrease in observed group

433 size might be partly due to a larger number of submerged individuals that were not

434 recorded by the observer. However, this is certainly not the only explanation for the

435 smaller group size, because we clearly observed the breaking up of focal groups into

436 smaller units (F. Visser, pers. obs.), consistent with the increase in the number of

437 groups in the focal area during deep diving bouts (Figure 4c). These smaller units

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438 might consist of more closely related individuals within matriline (Fullard, 2000; de
439 Stephanis et al., 2008), although this could not be verified because we lacked
440 information on the genetic relatedness of the individuals.

441 The group-level patterns at the surface indicated temporal synchrony in
442 functional behaviour between individuals within the same focal group. This is
443 supported by recent observations that pairs of pilot whales can be highly
444 synchronous in their breathing and diving behavior (Senigaglia & Whitehead, 2012;
445 Aoki et al., 2013). Our data show that pairs of tagged individuals synchronized the
446 timing of their deep and shallow diving bouts during 84% of the total recording time,
447 although the deep dives were not always performed simultaneously. Synchrony was
448 temporarily lost when the tagged individuals broke off from their foraging bouts at
449 different times. This temporary mismatch between the behaviour of different
450 individuals also emerged in the misclassifications of the random forest model at the
451 transition between deep and shallow diving bouts. In total, these results indicate a
452 social foraging strategy with a high degree of temporal synchrony, although the exact
453 timing of the foraging dives may differ between individuals.

454 Coordinated foraging by several individuals can have several advantages. For
455 example, in social animals such as wolves (*Canis lupus*) group members often
456 cooperate to catch their prey (Mech, 1999). Sperm whales (*Physeter macrocephalus*)
457 are known to forage in rank formations, which could function to avoid mutual
458 interference or to catch prey that eludes other members of the formation (Whitehead,
459 1989). Pilot whales forage mainly on deep-sea squid, which may flock in dense
460 aggregations but may also be widely dispersed over several hundred meters depth
461 (Shane, 1995; Baird et al., 2002). Simultaneous foraging by several individual whales
462 may confuse or herd their prey, which may have fewer options to escape from
463 predation. Synchronised timing of foraging bouts could also be motivated if
464 individuals with more local knowledge (e.g., pilot whales at greater depth) signal
465 good feeding opportunities, initiating the start of group foraging. This signalling could

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466 happen actively (Lusseau & Conradt, 2009) or passively (eavesdropping on cues;
467 Dawson, 1991). As pilot whales forage at depth on patchy prey fields (Shane, 1995;
468 Baird et al., 2002), signalling of good opportunities could improve foraging efficiency
469 of each whale in the group.

470

471 *Methodological development*

472

473 Our results rely on the simultaneous collection of two data streams: (i) tagging
474 data indicative of foraging activity of individual pilot whales, and (ii) group-level
475 behaviour observed at the water's surface using a novel sampling protocol. Our
476 protocol was specifically designed to overcome several methodological difficulties
477 that may restrict studies of group-level behaviour. In particular, observations of group
478 behaviour were structured around the tagged individual (Figure 1). This approach
479 facilitates sampling of dynamic groups, because the sampling regime is consistently
480 centred around one and the same individual, rather than a group of individuals that
481 may vary in composition during the observations. Hence, it is straightforward to
482 decide which group should be monitored when groups split up into smaller units.

483 Our definition of the focal group does not attempt to define the 'true' group as
484 perceived by the tagged animal. An individual may perceive different kinds of
485 relationships depending upon proximity and behavioural context. Cetaceans can
486 communicate acoustically over distances spanning many kilometres (Payne & Webb,
487 1971), they can often see one another at ranges of up to about 10-20 m, but they
488 must be within a body length to touch one another. Therefore, there is not a single
489 spatio-temporal scale that constitutes a 'true' group. However, our characterization of
490 the focal group does describe animals that certainly are close enough to interact, with
491 the definition based on the relative proximity of the tagged animal to other individuals.

492 A common problem in behavioural studies is that the observer has to choose
493 between two (or more) mutually exclusive behavioural states. For instance, is the

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494 animal resting or foraging? At the group level, this choice is further complicated if
495 some animals are resting while others are foraging (Altmann, 1974; Mann, 1999).
496 Our sampling protocol avoids this dilemma by the use of quantitative parameters to
497 describe group behaviour without interpreting the functionality of this behaviour. For
498 instance, rather than describing the aggregate functional behaviour of the group
499 (e.g., resting, foraging), we quantified individual behaviour of the tagged animal in
500 combination with directly observable parameters of the group (e.g., the spacing and
501 directionality between group members).

502 Foraging strategies and diving capabilities can differ between animals of
503 different age- and sex classes, potentially resulting in individual patterns of behaviour
504 (Heide-Jørgensen et al., 2002; Ruckstuhl & Neuhaus, 2002). Our results indeed
505 indicate some degree of individual variation, as illustrated by the diving patterns of
506 pairs of tagged individuals within the same focal group (Figure 3). Furthermore, our
507 results also indicate some degree of variation between the focal groups, because the
508 accuracy of the classification of diving behaviour was slightly lower for separate focal
509 groups than for the full dataset. However, controlling for context dependent variation,
510 the analysis identified a distinct surface group behaviour during foraging that was
511 present across all focal groups. This illustrates that the data obtained from our
512 sampling protocol can be classified into different functional activities (e.g., foraging
513 vs. non-foraging behaviour), even when animals participating in this group behaviour
514 display some degree of individual variation.

515 516 *Conclusions*

517
518 Our study revealed that long-finned pilot whales coordinate the timing of their
519 foraging behaviour. They employ a social foraging strategy, where group members
520 synchronize their diving bouts although they do not always synchronize their
521 individual dives. These results relied on the combination of group-level observations

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522 with data on the foraging dives of tagged individuals. A similar research strategy may
523 also be applied to other cetaceans, and may create novel opportunities to understand
524 the interplay between individual-level and group-level behaviour of social animals.

525

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527

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545

546 **Supplementary material**

547

548 Supplementary material for this article is available, in the online version, at <to be
549 completed upon acceptance>

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674 **Tables**675 **Table 1.** The parameters recorded in our study.

Parameter	Definition (gs = group state; ie = individual event)	Quantification
Group size	Number of animals most closely associated with the tagged individual and with each other (gs)	Number of individuals
Individual spacing	Distance between individuals in the focal group (in body lengths (BL)) (gs)	Very tight: < 1 BL Tight: 1 – 3 BL Loose: 3 – 15 BL Very loose: >15 BL and within focal area Solitary: no other individual in focal area and/or distant from nearest neighbour
Number of individuals in focal area	Number of individuals within 200 m of the tagged individual (gs)	Number of individuals
Number of groups in focal area	Number of groups within 200 m of the tagged individual (gs)	Number of groups
Distance to nearest other group	Distance between the focal group and the nearest other group (gs)	Distance in meters
Calf presence	Presence of calves in the focal group (gs)	Presence / absence
Surfacing synchrony	The proportion of individuals in the focal group surfacing during the surfacing of the tagged individual (gs)	Proportion of individuals
Milling index	Presence of individuals in the focal group that surface with another orientation than the tagged individual (gs)	Presence / absence
Line swimming	Presence of lined-up geometry of $\geq 50\%$ of individuals in the focal group (gs)	Presence / absence
Surface behaviour events	Number of events per type of surface behaviour in the focal group (ie)	Number of loggings, spyhops, tailslaps, breaches and active body-contacts*

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Comments	Additional comments on (rare) behavioural states or events not covered by the protocol (gs/ie)	E.g., tagged animal blows bubbles
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676	<i>*Logging: floating at or just below the water's surface. Spyhop: a vertical rise partially out of</i>	
677	<i>the water. Tailslap: slapping of tailflukes on the water's surface. Breach: leap during which</i>	
678	<i>>40% of the body clears the water. Active body contact: physical, non-synchronous</i>	
679	<i>interaction between 2 or more individuals, visible at the water's surface.</i>	

680 **Table 2.** Random Forest model classification results.

RF classification	Observed from tag	
	SHALLOW	DEEP
SHALLOW	388	53
DEEP	41	113

681 The table shows the number of samples with shallow and deep diving bouts of
682 tagged individuals versus the number predicted by the Random Forest classification.
683 Total number of samples = 595. Error rate: $(41 + 53) / 595 = 15.8\%$.

684 **Figure legends**

685

686 **Figure 1.** Determination of the focal group. The focal group (grey area) is the group
687 of individuals in closest proximity to the tagged individual (grey animal) and each
688 other. The focal area (dashed circle, not to scale) encompasses the 200 m radius
689 around the tagged whale. For example, a focal group of 7 individuals (left) changes
690 its organisation. Top right: Two individuals become more distantly spaced (3-15 BL)
691 from the tagged whale and other individuals in the focal group than the spacing within
692 the focal group (<1 BL). The group splits up in two smaller groups, and the group
693 with the tagged animal remains the focal group. Bottom right: The focal group
694 becomes more widely spaced, but the relative spacing between individuals remains
695 the same (3-15 BL). One animal leaves the focal group and the focal area.

696

697 **Figure 2.** Example of group behaviour and individual diving behaviour of long-finned
698 pilot whales during 8 hours of observation. (a) Group size (filled circles) and number
699 of individuals in the focal area (open circles), (b) individual spacing, (c) surfacing
700 synchrony, (d) number of groups in the focal area, (e) distance of the focal group to
701 the nearest other group (n.i.s. = none in sight), (f) surface behaviour events, (g) deep
702 diving bouts (black) and shallow diving bouts (grey) recorded by the tagged individual
703 (TAG), and classified by the Random Forest model (RF) based on surface behaviour
704 of the group, (h) diving pattern of the tagged individual. The data were all recorded
705 on May 23, 2010.

706

707 **Figure 3.** Diving patterns of two tagged individuals within the same focal group.
708 Horizontal dotted line: boundary between deep and shallow dives at 34 m depth.
709 Vertical dotted lines: transitions between deep and shallow diving bouts for one or
710 both individuals. The whale icons indicate whether the individuals performed deep
711 diving bouts (icon below 34 m) or shallow diving bouts (icon above 34 m). The data

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712 were recorded on May 17, 2009.

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714 **Figure 4.** Comparison of group-level data observed at the surface during shallow
715 versus deep diving bouts of the tagged individual. (a) Group size, (b) number of
716 individuals in the focal area, (c) number of groups in the focal area, (d) distance of
717 the focal group to the nearest other group (n.i.s. = none in sight), (e) individual
718 spacing, (f) surfacing synchrony (g) presence of calves, line swimming and milling,
719 and (h) surface behaviour events. Differences between deep and shallow diving
720 bouts were tested with Generalised Estimating Equations: ** Significant after
721 Bonferroni correction ($P/\text{number of hypotheses tested}$) at $p < 0.05/12 = 0.0042$; *
722 Marginally significant after Bonferroni correction at $p < 0.10/12 = 0.0083$; n.s. = not
723 significant.

724

725 **Figure 5.** Relative importance of the behavioural parameters included in the final
726 Random Forest model.

Figure 1.

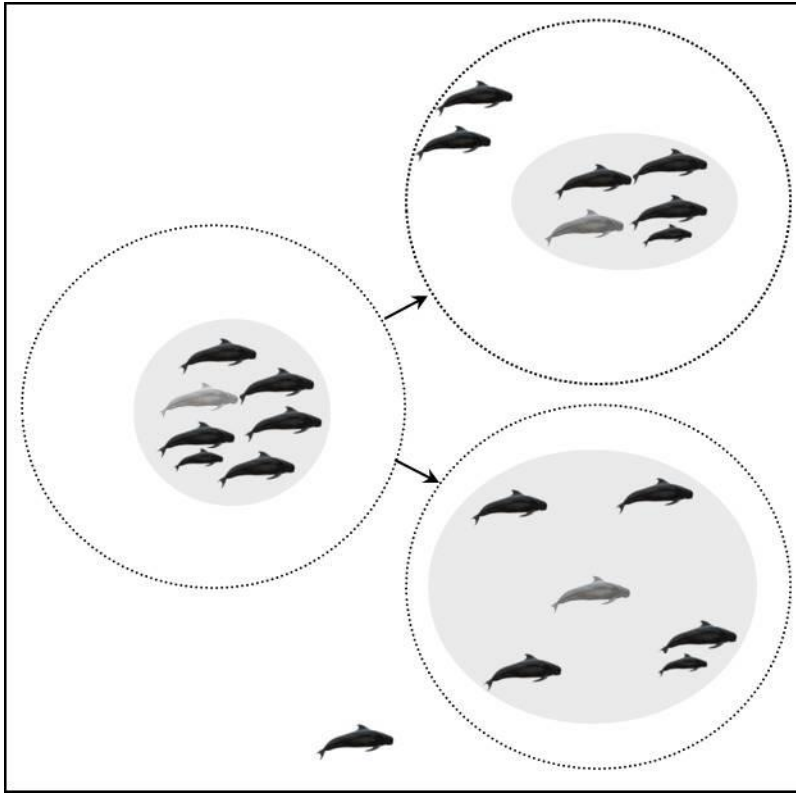


Figure 2.

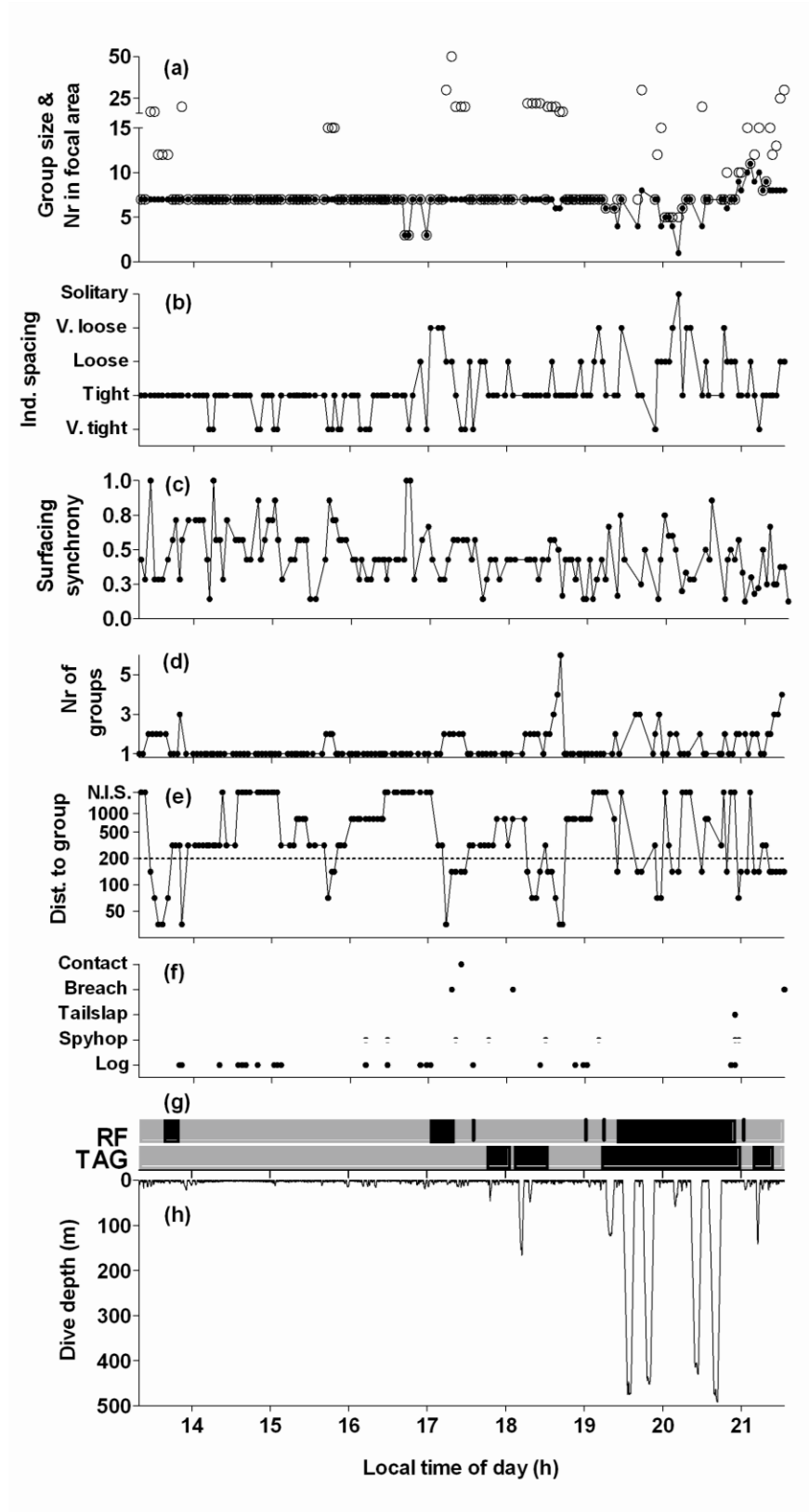


Figure 3.

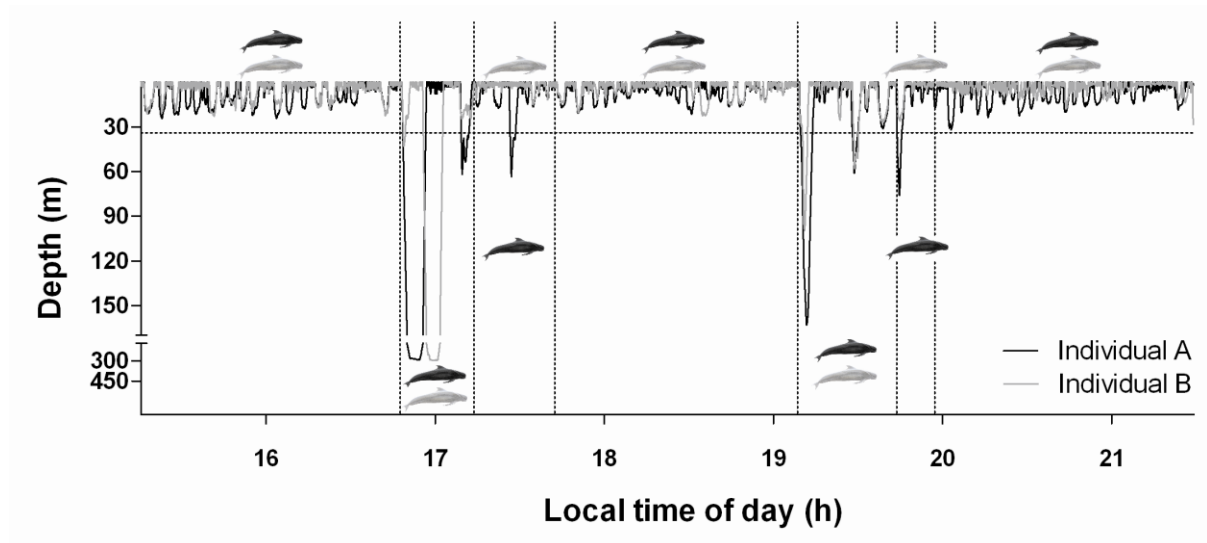


Figure 4.

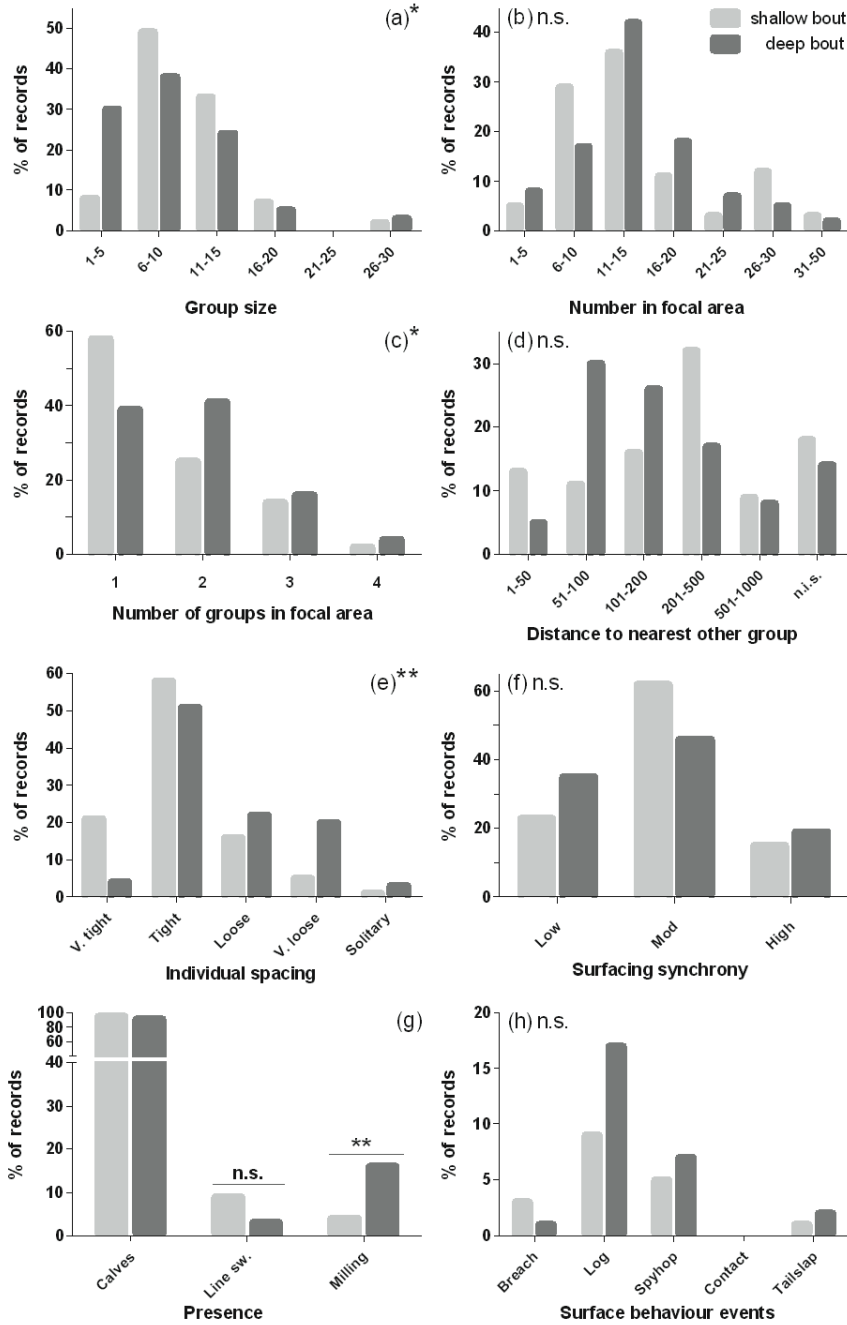


Figure 5.

